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PTO/SB/01 (12-97)

Approved for use through 9/30/00.OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	39739-0030A
	First Named Inventor	LINDA S. HIGGINS
	COMPLETE IF KNOWN	
	Application Number	10/719,082
	Filing Date	November 20, 2003
	Group Art Unit	Unassigned
Examiner Name	Unassigned	

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

USE OF TGF- β INHIBITORS TO COUNTERACT PATHOLOGIC CHANGES IN THE LEVEL OR FUNCTION OF STEROID/THYROID RECEPTORS

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) **November 20, 2003** as United States Application Number or PCT International

Application Number **10/719,082** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.
60/428,860	November 22, 2002	

(Page 1 of 3)

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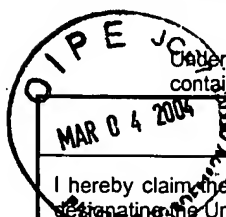
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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar
Code Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label OR ☐ Correspondence address below

Name	Ginger R. Dreger, Esq.						
Address	Heller Ehrman White & McAuliffe, LLP						
Address	275 Middlefield Road						
City	Menlo Park	State	CA	ZIP	94025		
Country	USA	Telephone	(650) 324-7000		Fax	(650) 324-0638	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
LINDA S.				HIGGINS			
Inventor's Signature						Date	12-31-03
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	US
Post Office Address	3610 Louis Road						
Post Office Address							
City	Palo Alto	State	CA	ZIP	94303	Country	USA

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto:

Please Type a plus sign (+) inside this box



PTO/SB/02A (3-97)

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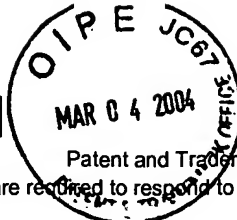
DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>3</u> of <u>3</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
ANN M.				KAPOUN			
Inventor's Signature						Date	
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	US
Post Office Address		356 Fernando Avenue					
Post Office Address							
City	Palo Alto	State	CA	ZIP	94306	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
DAVID Y.				LIU			
Inventor's Signature						Date	
City	Palo Alto	State	CA	Country	USA	Citizenship	US
Post Office Address		201 Ferne Avenue					
Post Office Address							
City	Palo Alto	State	CA	ZIP	94306	Country	USA

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/428,860	November 22, 2002	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

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LINDA S.				HIGGINS			
Inventor's Signature						Date	
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	US
Post Office Address	3610 Louis Road						
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City	Palo Alto	State	CA	ZIP	94303	Country	USA

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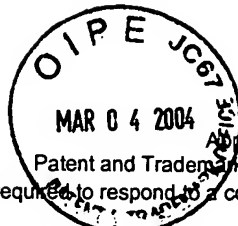
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DAVID Y.				LIU			
Inventor's Signature	<i>David Y. Liu</i>					Date	12-30-03
City	Palo Alto	State	CA	Country	USA	Citizenship	US
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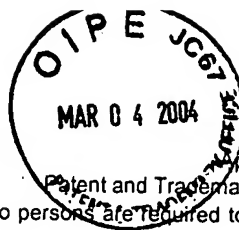
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Address	275 Middlefield Road						
City	Menlo Park	State	CA	ZIP	94025		
Country	USA	Telephone	(650) 324-7000		Fax	(650) 324-0638	

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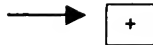
Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))				Family Name or Surname			
LINDA S.				HIGGINS			
Inventor's Signature						Date	
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	US
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Given Name (first and middle (if any))				Family Name or Surname											
ANN M.				KAPOUN											
Inventor's Signature		<i>Ann M. Kapoun</i>			Date		12-29-03								
Residence: City		<i>Mountain View</i> Palo Alto		State		CA		Country		USA		Citizenship		US	
Post Office Address		356 Fernando Avenue 686 Ehrhorn Ave.													
Post Office Address															
City		<i>Mountain View</i> Palo Alto		State		CA		ZIP		<i>94041</i> 94306		Country		USA	
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